

Lecture 11: Self-Reference and Uncomputability

Self-Referential Subtitles Are Best Subtitles

Liar's Paradox

Self-Reference: "the act or an instance of referring or alluding to oneself; see self-reference"

Can create issues in logical deduction!

Ancient Cretan says "All Cretans are liars"

- ▶ Are they lying?

Barber says "I shave those who don't themselves"

- ▶ Does the barber shave himself?

I say "This statement is false"

- ▶ Is it?

Russell's Paradox

Let S be set of sets that don't contain themselves

$$S = \{x \mid x \notin x\}$$

Does S contain itself? Is $S \in S$?

Yes?

- ▶ If $S \in S$, S defined to *not* include S !

No?

- ▶ If $S \notin S$, S defined to include S !

Set theory solution: make sure S not definable

In CS, not so easy to avoid!

An Important Aside

Computer programs \equiv binary strings

```
1 import sys
2
3 def example_function():
4     print("This is an example function")
5     print("It does example things")
6
7 sys.crash()
```

```
1 696d 706f 7274 2073 7073 0d0a 0d0a 6405
2 6620 6578 616d 706c 655f 6675 6683 7469
3 6f6e 0320 340d 0a09 7072 6950 7420 2254
4 6869 7320 6973 2061 6e20 6578 616d 706c
5 6520 6675 6663 7469 6f6e 2220 0d0a 0970
6 7209 6678 2022 4974 2064 6f65 7308 0978
7 616d 706c 6520 746e 696e 6773 2220 0d0a
8 6973 7273 2463 7261 7260 2020 0d0a 0d0a
9 0d0a 09
```

Means we can pass programs as inputs to programs
Program can be own input — allows self-reference!

An Impossible Problem

Halting problem: determine if program halts

Formally, want program TestHalt such that

- ▶ If $P(x)$ halts, $\text{TestHalt}(P, x) = \text{True}$
- ▶ If $P(x)$ loops, $\text{TestHalt}(P, x) = \text{False}$

Thm: Problem undecidable – TestHalt can't exist!

To prove: assume for contradiction TestHalt exists
Use self-reference to defeat TestHalt

Turing The Computer Scientist

Assume for contradiction TestHalt exists

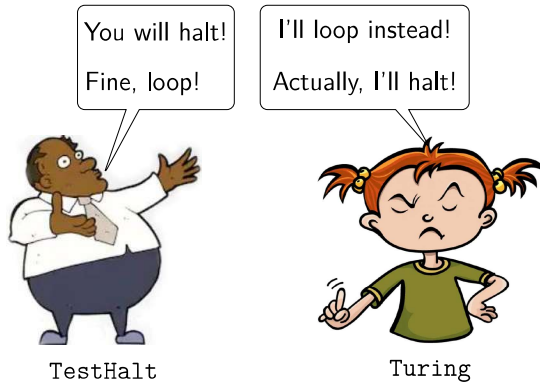
Turing(P):

```
if TestHalt(P, P) = True:
    loop infinitely
else:
    halt
```

What does Turing(Turing) do?

Opposite of TestHalt(Turing, Turing)
So TestHalt must be wrong there!

Turing The Sassy Teenager



7 / 19

But Wait!

Why can't we just simulate $P(x)$ and wait for halt?
Might have to wait forever
But TestHalt must return in finite time!
What if I just wait 9000 years?
 $P(x)$ might need 9001!

8 / 19

OK Sure, But...

...maybe TestHalt is just contrived?
Don't often care what program does on itself
Perhaps better: does program halt with no input?
"Easy" Halting Problem: want ETH such that
▶ If $P()$ halts, $ETH(P) = \text{True}$
▶ If $P()$ loops, $ETH(P) = \text{False}$
Claim: "Easy" Halting Problem no easier!
Formally: if ETH exists, TestHalt does too

9 / 19

Easy My ***

Suppose ETH exists, can write TestHalt:

```
TestHalt(P, x):  
  def P'():  
    P(x)  
  return ETH(P')
```

Input or none doesn't matter — can just hardcode!

In logic: ETH exists \implies TestHalt exists

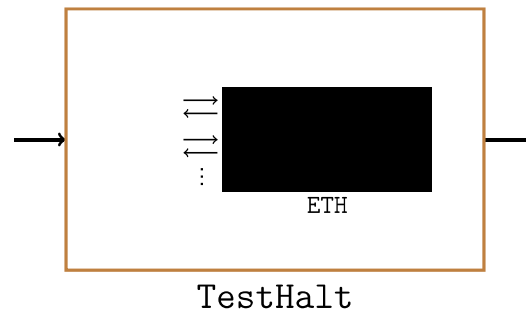
Contrapos: TestHalt doesn't exist \implies ETH doesn't

Already Know TestHalt doesn't exist!

10 / 19

Reductionism

What we just did called a *reduction*



11 / 19

Reduce To The Problem Of Break Time

Time for a 4-minute break!

Today's Discussion Question:

If you were to write a self-referential discussion question, what would it be?

12 / 19

Recursive Enumerability

What happens if we relax the requirements?

Problem is *recursively enumerable*¹ if \exists program P

- ▶ If answer for x is true, P(x) outputs true
- ▶ If answer is false, P(x) outputs false *or loops*

Previously showed that Halting Prob is RE!

Can we find others?

¹Sometimes called *recognizable*, but that doesn't sound as cool.

Entscheidungsproblem

Hilbert's famous "decision problem" (roughly):
Given a statement x, is it true or false?

Claim: Entscheidungsproblem is undecidable

Proof:

Suppose \exists program E solving Entscheidungsproblem

```
TestHalt(P, x):  
    return E("P(x) halts.")
```

Allows us to solve Halting Problem — no bueno!

Entscheidungsproblem Fortsetzung

Claim: Entscheiwhatever is recursively enumerable

Proof:

- ▶ Try all proofs with one step
- ▶ If none succeed, try all with two steps
- ▶ Next try all with three steps
- ▶ ...

Note: requires two important assumptions

- ▶ Proofs can be checked for correctness
- ▶ Only finitely many possible next steps

Both true in sufficiently formal proof systems!

This All Seems Familiar...

Claim: If problem is RE, can reduce to Halting Prob

Proof:

Since RE, have "recognizer" R

Suppose also have TestHalt

```
Solver(x):  
    if TestHalt(R, x) = false:  
        return false  
    else: return R(x)
```

Used TestHalt to avoid problems if R loops!

Give Out Complements

What's so special about the false case?

What happens if we relax the true case instead?

Problem is co-RE² if \exists program P st

- ▶ If answer for x is true, P(x) = true *or loops*
- ▶ If answer for x is false, P(x) outputs false

Note: "opposite" of RE problem is co-RE

Ex: the "looping problem" is co-RE

Can RE problems be co-RE as well?

²The co- stands for "complement"

RE(EEEEEEEEEEE)

Thm: Problem is RE and co-RE iff is computable

Proof (if):

- ▶ Solver satisfies both RE and co-RE

Proof (only if):

- ▶ Suppose have "recognizers" R and CR
- ▶ Run R(x) and CR(x) in parallel
- ▶ Once one returns, use that answer

Note: means halting not co-RE, looping not RE!

\exists problems neither RE nor co-RE!

Beyond our scope though :(

Fin

Next time: counting (with Elizabeth)!