

## Bonus Lecture 1: Formal Proof Systems

Because Formalism Improves Everything

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## Why Formal Proofs?

Proofs so far designed to be human-readable

- ▶ Lots of fluff
- ▶ Quote simple results without proving
- ▶ etc

Hard for a computer to understand :(

Hard to prove things about proofs :(

Formalizing a proof system addresses these issues  
But at the cost of readability, length

Today, focus on propositional logic (no quantifiers)

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## Axioms

Need logical axioms to get anywhere

System for today based on properties of  $\Rightarrow$  and  $\neg$

- (1)  $\varphi_1 \Rightarrow \varphi_1$
- (2)  $\varphi_1 \Rightarrow (\varphi_2 \Rightarrow \varphi_1)$
- (3)  $\varphi_1 \Rightarrow [(\neg\varphi_1) \Rightarrow \varphi_2]$
- (4)  $[(\neg\varphi_1) \Rightarrow \varphi_1] \Rightarrow \varphi_1$
- (5)  $(\neg\varphi_1) \Rightarrow (\varphi_1 \Rightarrow \varphi_2)$
- (6)  $\varphi_1 \Rightarrow ([\neg\varphi_2] \Rightarrow [\neg(\varphi_1 \Rightarrow \varphi_2)])$
- (7)  $[\varphi_1 \Rightarrow (\varphi_2 \Rightarrow \varphi_3)] \Rightarrow [(\varphi_1 \Rightarrow \varphi_2) \Rightarrow (\varphi_1 \Rightarrow \varphi_3)]$

$\varphi$ s are any propositional formula

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## Why These Axioms?

Where did these precise axioms come from?

Turns out, sufficient for *completeness*

"If it's true, we can prove it"

Could include more axioms, but more cumbersome

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## Formal Proofs, Formally

Start with set of givens  $\Gamma$ .

Proof is sequence of formulae  $(\varphi_1, \varphi_2, \dots, \varphi_n)$

$\forall i$ , must have one of:

- ▶  $\varphi_i$  is an axiom
- ▶  $\varphi_i$  in  $\Gamma$
- ▶  $\exists j, k < i$  such that  $\varphi_k$  is  $\varphi_j \Rightarrow \varphi_i$ <sup>1</sup>

Say  $\Gamma$  proves  $\varphi$  ( $\Gamma \vdash \varphi$ ) if  $\exists$  a proof with  $\varphi_n = \varphi$

<sup>1</sup>This is known as *Modus Ponens* because Latin

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## An Example Proof

Start with  $\Gamma = \{\neg(\neg P)\}$ , prove  $P$

**Proof:**

- ▶  $[\neg(\neg P)] \Rightarrow [(\neg P) \Rightarrow P]$  (Axiom 5)
- ▶  $[(\neg P) \Rightarrow P] \Rightarrow P$  (Axiom 4)
- ▶  $\neg(\neg P)$  (In  $\Gamma$ )
- ▶  $(\neg P) \Rightarrow P$  (Modus Ponens)
- ▶  $P$  (Modus Ponens)

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## Inconsistent Beginnings...

Start with  $\Gamma = \{P, \neg P\}$ , prove  $Q$

**Proof:**

- ▶  $P \Rightarrow [(\neg P) \Rightarrow Q]$  (Axiom 3)
- ▶  $P$  (In  $\Gamma$ )
- ▶  $\neg P$  (In  $\Gamma$ )
- ▶  $(\neg P) \Rightarrow Q$  (Modus Ponens)
- ▶  $Q$  (Modus Ponens)

Wait — where did  $Q$  come from?

**Principle of Explosion:** If you start with a false statement, you can prove anything.

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## ...Lead Anywhere

$\Gamma$  *inconsistent* if proves both  $\varphi$  and  $\neg\varphi$  for some  $\varphi$

**Claim:** If  $\Gamma$  inconsistent, can prove anything!

Why?

Consider proof of  $\psi$  for any  $\psi$ :

- ▶ Proof of  $\varphi$
- ▶ Proof of  $\neg\varphi$
- ▶  $\varphi \Rightarrow [(\neg\varphi) \rightarrow \psi]$  (Axiom 3)
- ▶  $(\neg\varphi) \rightarrow \psi$  (Modus Ponens)
- ▶  $\psi$  (Modus Ponens)

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## Can't Get No...

How do we determine if proofs make sense?  
What should be provable?

Idea: back to formulae as functions

Consider inputs st all formulae in  $\Gamma$  are true  
If  $\varphi$  true on these, say  $\Gamma$  satisfies  $\varphi$  ( $\Gamma \models \varphi$ )

Ideally,  $\Gamma$  proves  $\varphi$  iff  $\Gamma$  satisfies  $\varphi$

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## We're Halfway There

**Theorem:** If  $\Gamma$  proves  $\varphi$ ,  $\Gamma$  satisfies  $\varphi$

**Proof:**

- ▶ Suppose  $\exists$  proof  $(\varphi_1, \varphi_2, \dots, \varphi_n = \varphi)$
- ▶ Prove  $\Gamma$  satisfies  $\varphi_i$  by induction on  $i$
- ▶ BC ( $i = 1$ ): Axiom (always true) or in  $\Gamma$
- ▶ IS: Same as above if axiom or in  $\Gamma$
- ▶ Else have  $j, k < i$  st  $\varphi_k$  is  $\varphi_j \Rightarrow \varphi_i$
- ▶  $\varphi_j$  and  $\varphi_k$  satisfied by IH
- ▶ Those both true means  $\varphi_i$  true as well!

Other direction also true, but much more difficult

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## But Wait!

What about inconsistent  $\Gamma$ ? Proves everything!

If  $\Gamma$  inconsistent, no input makes all formulae true

- ▶ Recall  $\Gamma = \{P, \neg P\}$  from before

So for any  $\varphi$ ,  $\Gamma$  satisfies  $\varphi$  vacuously

Not a counterexample after all

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## Fin

If you found this interesting, consider Math 125A!

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