

# Bonus Lecture 1: Formal Proof Systems

Because Formalism Improves Everything

# Why Formal Proofs?

Proofs so far designed to be human-readable

- ▶ Lots of fluff
- ▶ Quote simple results without proving
- ▶ etc

Hard for a computer to understand :(

Hard to prove things about proofs :(

Formalizing a proof system addresses these issues

But at the cost of readability, length

Today, focus on propositional logic (no quantifiers)

# Axioms

Need logical axioms to get anywhere

System for today based on properties of  $\Rightarrow$  and  $\neg$

$$(1) \varphi_1 \Rightarrow \varphi_1$$

$$(2) \varphi_1 \Rightarrow (\varphi_2 \Rightarrow \varphi_1)$$

$$(3) \varphi_1 \Rightarrow [(\neg\varphi_1) \Rightarrow \varphi_2]$$

$$(4) [(\neg\varphi_1) \Rightarrow \varphi_1] \Rightarrow \varphi_1$$

$$(5) (\neg\varphi_1) \Rightarrow (\varphi_1 \Rightarrow \varphi_2)$$

$$(6) \varphi_1 \Rightarrow \left( [\neg\varphi_2] \Rightarrow [\neg(\varphi_1 \Rightarrow \varphi_2)] \right)$$

$$(7) [\varphi_1 \Rightarrow (\varphi_2 \Rightarrow \varphi_3)] \Rightarrow [(\varphi_1 \Rightarrow \varphi_2) \Rightarrow (\varphi_1 \Rightarrow \varphi_3)]$$

$\varphi$ s are any propositional formula

# Why These Axioms?

Where did these precise axioms come from?

Turns out, sufficient for *completeness*

“If it’s true, we can prove it”

Could include more axioms, but more cumbersome

# Formal Proofs, Formally

Start with set of givens  $\Gamma$ .

Proof is sequence of formulae  $(\varphi_1, \varphi_2, \dots, \varphi_n)$

$\forall i$ , must have one of:

- ▶  $\varphi_i$  is an axiom
- ▶  $\varphi_i$  in  $\Gamma$
- ▶  $\exists j, k < i$  such that  $\varphi_k$  is  $\varphi_j \Rightarrow \varphi_i$ <sup>1</sup>

Say  $\Gamma$  proves  $\varphi$  ( $\Gamma \vdash \varphi$ ) if  $\exists$  a proof with  $\varphi_n = \varphi$

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<sup>1</sup>This is known as *Modus Ponens* because Latin

# An Example Proof

Start with  $\Gamma = \{\neg(\neg P)\}$ , prove  $P$

**Proof:**

- ▶  $[\neg(\neg P)] \Rightarrow [(\neg P) \Rightarrow P]$  *(Axiom 5)*
- ▶  $[(\neg P) \Rightarrow P] \Rightarrow P$  *(Axiom 4)*
- ▶  $\neg(\neg P)$  *(In  $\Gamma$ )*
- ▶  $(\neg P) \Rightarrow P$  *(Modus Ponens)*
- ▶  $P$  *(Modus Ponens)*

# Inconsistent Beginnings...

Start with  $\Gamma = \{P, \neg P\}$ , prove  $Q$

**Proof:**

- ▶  $P \Rightarrow [(\neg P) \Rightarrow Q]$  *(Axiom 3)*
- ▶  $P$  *(In  $\Gamma$ )*
- ▶  $\neg P$  *(In  $\Gamma$ )*
- ▶  $(\neg P) \Rightarrow Q$  *(Modus Ponens)*
- ▶  $Q$  *(Modus Ponens)*

Wait — where did  $Q$  come from?

**Principle of Explosion:** If you start with a false statement, you can prove anything.

## ...Lead Anywhere

$\Gamma$  *inconsistent* if proves both  $\varphi$  and  $\neg\varphi$  for some  $\varphi$

**Claim:** If  $\Gamma$  inconsistent, can prove anything!

Why?

Consider proof of  $\psi$  for any  $\psi$ :

- ▶ *Proof of  $\varphi$*
- ▶ *Proof of  $\neg\varphi$*
- ▶  $\varphi \Rightarrow [(\neg\varphi) \rightarrow \psi]$  *(Axiom 3)*
- ▶  $(\neg\varphi) \rightarrow \psi$  *(Modus Ponens)*
- ▶  $\psi$  *(Modus Ponens)*



# Can't Get No...

How do we determine if proofs make sense?  
What should be provable?

Idea: back to formulae as functions

Consider inputs st all formulae in  $\Gamma$  are true

If  $\varphi$  true on these, say  $\Gamma$  satisfies  $\varphi$  ( $\Gamma \models \varphi$ )

Ideally,  $\Gamma$  proves  $\varphi$  iff  $\Gamma$  satisfies  $\varphi$

# We're Halfway There

**Theorem:** If  $\Gamma$  proves  $\varphi$ ,  $\Gamma$  satisfies  $\varphi$

**Proof:**

- ▶ Suppose  $\exists$  proof  $(\varphi_1, \varphi_2, \dots, \varphi_n = \varphi)$
- ▶ Prove  $\Gamma$  satisfies  $\varphi_i$  by induction on  $i$
- ▶ BC ( $i = 1$ ): Axiom (always true) or in  $\Gamma$
- ▶ IS: Same as above if axiom or in  $\Gamma$
- ▶ Else have  $j, k < i$  st  $\varphi_k$  is  $\varphi_j \Rightarrow \varphi_i$
- ▶  $\varphi_j$  and  $\varphi_k$  satisfied by IH
- ▶ Those both true means  $\varphi_i$  true as well!

Other direction also true, but much more difficult

## But Wait!

What about inconsistent  $\Gamma$ ? Proves everything!

If  $\Gamma$  inconsistent, no input makes all formulae true

- ▶ Recall  $\Gamma = \{P, \neg P\}$  from before

So for any  $\varphi$ ,  $\Gamma$  satisfies  $\varphi$  vacuously

Not a counterexample after all

# Fin

If you found this interesting, consider Math 125A!